## ORIGINAL ARTICLE



## Optimal coordination and reorganization of photosynthetic properties in $C_4$ grasses

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#### Abstract

Each of >20 independent evolutions of C<sub>4</sub> photosynthesis in grasses required reorganization of the Calvin-Benson-cycle (CB-cycle) within the leaf, along with coordination of C<sub>4</sub>-cycle enzymes with the CB-cycle to maximize CO<sub>2</sub> assimilation. Considering the vast amount of time over which C<sub>4</sub> evolved, we hypothesized (i) trait divergences exist within and across lineages with both C4 and closely related C3 grasses, (ii) trends in traits after C<sub>4</sub> evolution yield the optimization of C<sub>4</sub> through time, and (iii) the presence/absence of trends in coordination between the CB-cycle and C<sub>4</sub>-cycle provides information on the strength of selection. To address these hypotheses, we used a combination of optimality modelling, physiological measurements and phylogenetic-comparative-analysis. Photosynthesis was optimized after the evolution of C<sub>4</sub> causing diversification in maximal assimilation, electron transport, Rubisco carboxylation, phosphoenolpyruvate carboxylase and chlorophyll within C<sub>4</sub> lineages. Both theory and measurements indicated a higher light-reaction to CB-cycle ratio  $(J_{atpmax}/V_{cmax})$  in C<sub>4</sub> than C<sub>3</sub>. There were no evolutionary trends with photosynthetic coordination between the CB-cycle, light reactions and the C<sub>4</sub>-cycle, suggesting strong initial selection for coordination. The coordination of CB- $C_4$ -cycles ( $V_{pmax}/V_{cmax}$ ) was optimal for  $CO_2$  of 200 ppm, not to current conditions. Our model indicated that a higher than optimal  $V_{pmax}/V_{cmax}$  affects assimilation minimally, thus lessening recent selection to decrease V<sub>pmax</sub>/V<sub>cmax</sub>.

#### KEYWORDS

C<sub>4</sub> photosynthesis, electron transport, evolution, grass, optimality, J<sub>max</sub>/V<sub>cmax</sub>, V<sub>pmax</sub>/V<sub>cmax</sub>

#### INTRODUCTION 1

C<sub>4</sub> photosynthesis evolved in response to inefficiencies of C<sub>3</sub> photosynthesis, which become exacerbated under certain environmental conditions: high temperature, low CO<sub>2</sub>, drought, and highlight (Edwards et al., 2010; Ehleringer et al., 1997; Ehleringer & Monson, 1993; Zhou et al., 2018). Rubisco, the CO<sub>2</sub> carboxylating enzyme of the Calvin-Benson (CB) cycle, can also assimilate O<sub>2</sub> as the first reaction of photorespiration, a reaction that can reduce CB cycle efficiency up to 30% in C<sub>3</sub> species (Bauwe et al., 2010; Ehleringer et al., 1991; Raines, 2011). The C<sub>4</sub> pathway concentrates CO<sub>2</sub> around

Rubisco, dramatically reducing photorespiration by segregating CO<sub>2</sub> uptake by phosphoenolpyruvate carboxylase (PEPc) within mesophyll cells and the assimilation of CO<sub>2</sub> into the CB cycle within bundlesheath cells. However, the operation of the C4 carbon concentrating mechanism (CCM) has additional ATP costs that are not required by C3 plants, which photosynthesize using solely the CB cycle (Hatch, 1987).

The description above details a generic  $C_4$  pathway, but the  $C_4$ CCM has evolved independently more than 20 times in the grasses across different climate regimes (Ehleringer et al., 1997; Ehleringer & Monson, 1993; Zhou et al., 2018) and among lineages that had

diverged by millions of years (Christin et al., 2013; Lundgren & Christin, 2017; Sage, 2016). Therefore, it is worth asking which aspects of  $C_4$  photosynthetic physiology differ across lineages, and what can any similarities or differences tell us of the evolutionary processes that shaped  $C_4$  evolution. More specifically, we can examine how resources were reallocated between  $CO_2$  uptake by PEPc, the CB cycle and light reactions and how selection optimized the function of the  $C_4$  photosynthesis across lineages and through evolutionary time. A combined physiological and phylogenetic comparative analyses across independent evolutionary events can provide an estimate of the strength of selection for the integration of the CB cycle and the  $C_4$  CCM during the initial evolution of  $C_4$ , further optimization after the evolution of the full  $C_4$  CCM, and the expected degree of plasticity in  $C_4$  operation as the climate has changed.

While it is well established that the evolution of the  $C_4$  CCM required resource reallocation (mainly nitrogen) between the light reactions and the CB cycle, and a rebalancing of ATP and NADPH production relative to CO<sub>2</sub> assimilation (Ghannoum et al., 2010; Osborne & Sack, 2012; Ripley et al., 2007; Sage & Pearcy, 1987; Sharwood et al., 2016; Zhou et al., 2018), viewing these shifts through optimization models and phylogenetic sampling of C<sub>3</sub> and C<sub>4</sub> grasses will provide information on whether resource allocation differed as C4 evolved across lineages, as well as an enhanced mechanistic explanation of resource allocation in C<sub>4</sub>. We propose that the relative ratios between maximal Rubisco carboxylation rate (V<sub>cmax</sub>), maximal electron transport (J<sub>max</sub>) and maximal PEP carboxylation rate (V<sub>pmax</sub>) represent the coordination within CB cycle and between CB and C<sub>4</sub> cycles, and offer insight into resource allocation. Although J<sub>max</sub>/V<sub>cmax</sub> has been empirically measured (Wullschleger, 1993) and examined with optimal modelling results in numerous C<sub>3</sub> species (Kromdijk & Long, 2016; Quebbeman & Ramirez, 2016; Walker et al., 2014), there have been far fewer measurements in  $C_4$ species, and even fewer attempts to assess optimal predictions for  $J_{max}/V_{cmax}$  and the coordination of the CB cycle with the C<sub>4</sub> CCM, represented by V<sub>pmax</sub>/V<sub>cmax</sub>.

Changes, or lack thereof, in the ratios of  $J_{max}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$  across phylogenies with different temporal origins of C<sub>4</sub> evolution, can provide an estimate of the strength of selection for the integration of the CB cycle and the C<sub>4</sub> CCM, as well as give insight into the evolution of C<sub>4</sub> from C<sub>3</sub>. There are several extant representations suggesting that C<sub>4</sub> evolved from C<sub>3</sub> photosynthesis through a series of apparently stable intermediates between C<sub>3</sub> and C<sub>2</sub> photosynthesis (Lundgren & Christin, 2017; Mallmann et al., 2014; Sage et al., 2018; Schüssler et al., 2017; Williams et al., 2013), but there are no known examples of intermediates to suggest a likewise gradual integration of the CB cycle with the C<sub>4</sub> CCM (Stata et al., 2019).

Optimization modelling of  $C_4$  photosynthesis can predict how a trait should acclimate to a given climate regime, and can, therefore, predict responses to change and/or explain observed trends. It has been proposed that  $C_4$  may show less plasticity and acclimation in phenotypical traits in response to global climate change, due to

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complex anatomical and biochemical features (Sage & McKown, 2006). Recently Pignon and Long (2020) showed support for this concept in that coordination between CB and C<sub>4</sub> cycles was more appropriate to low CO<sub>2</sub> conditions of the Pleistocene. Combining optimal predictions and empirical examination of how  $J_{max}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$  vary with the environment could elucidate the acclimation capability C<sub>4</sub> and further show if acclimation occurs in an optimal manner.

The different C<sub>4</sub> grass lineages evolved at different time points and different locations and, therefore, endured different evolutionary histories both before and after the evolution of C<sub>4</sub>. Aside from the coordination of CB and C<sub>4</sub> CCM, this history may be apparent in extant lineages as either a result of these different evolutionary histories or extended optimization after C<sub>4</sub> CCM formation (Christin & Osborne, 2014; Edwards, 2019; Heyduk et al., 2019; Sage, 2016). This diversification could be represented in evolutionary trends between photosynthetic parameters such as continuous trends in maximum photosynthesis (A<sub>max</sub>) through evolutionary time, and can be examined using phylogenetic comparative methods within and among C<sub>4</sub> lineages and as compared to closely related C<sub>3</sub> grass species (Edwards et al., 2007).

To examine the points detailed above, we first improved the optimal physiology model of Zhou et al. (2018), which couples photosynthesis and nitrogen stoichiometry to predict optimal ratios of  $J_{max}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$ . For electron transport, we considered ATP-related electron transport (J<sub>atpmax</sub>) and NADPH-related electron transport ( $J_{nadphmax}$ ) independently as components of  $J_{max}$  (the ratios  $J_{atpmax}/V_{cmax}$  and  $J_{nadphmax}/V_{cmax}$ ) along with  $V_{pmax}/V_{cmax}$  (Yin et al., 2016). We then performed in vivo experiments to estimate these parameters on grass lineages including  $C_3$  (no  $V_{pmax}$ ) and  $C_4$  selected from the PACMAD clade (Grass Phylogeny Working Group II [GPWG II], 2012; Spriggs et al., 2014). By sampling multiple independent origins of C<sub>4</sub> within a phylogenetic context (Cavender-Bares et al., 2009; Edwards et al., 2007), we were able to use phylogenetic comparative methods to examine the divergence of traits between  $C_3$  and  $C_4$  and to detect whether there are continuous evolutionary trends. In sum, we used optimality modelling, physiological measurements and phylogenetic comparative methods to examine evolutionary trends, the approach to optimality, and to gain a better formal understanding of how evolution shaped the integration of electron transport, Rubisco carboxylation and PEPC carboxylation in C<sub>4</sub> photosynthesis (Supporting Information: Figure S1).

### 2 | MATERIALS AND METHODS

#### 2.1 | Plant material

We cultivated 30 closely related species, including 9  $C_3$  and 21  $C_4$ . The species belong to eight independent origins of closely related  $C_3$  and  $C_4$  lineages and including NAD-ME and NADP-ME subtypes of  $C_4$  (Supporting Information: Figure S2). Seeds were sterilized before gemination, and then transferred to 6-inch (1.5 L) pots with Fafard WILEY-RE Plant, Cell & Environment

#52 soil (Sungro) and grown in the University of Pennsylvania greenhouse supplemented with artificial lighting so that the average light intensity was about 1500  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>. We randomized the placement of six replicates of each species on the benches. Daytime temperature was controlled to average of 25°C, with daytime/night temperature variation of 23.9–29.4/18.3–23.8°C; the vapour-pressure deficit (VPD) varied between 0.7 and 1.3k Pa; all the plants were watered twice daily. Plants were fertilized once per week with 300 ppm Nitrogen solution (Jacks Fertilizer; JR Peters) and 0.5 tsp of 18-6-8 slow-release Nutricote Total (Arysta LifeScience America Inc.) per pot was applied when plants were first potted. To maintain optimal plant growth, a 15-5-15 cal-mg fertilizer was used every third week. The fertilizer satisfied the regular growth of species. The average nitrogen content was 4.26% for C<sub>3</sub> species and 3.30% for C<sub>4</sub> species (Supporting Information: Figure S3).

## 2.2 | Gas exchange and fluorescence measurements

All measurements were performed on the most recent fully expanded leaves with six replicates per species. We measured  $A/C_i$  curves using a LI-6400XT (LI-COR Inc.) for all the species by setting the reference CO<sub>2</sub> concentrations as 400, 200, 50, 75, 100, 125, 150, 175, 200, 225, 250, 275, 300, 325, 350, 400, 500, 600, 700, 800, 1000, 1200, 1400 ppm under saturated light intensity of 2000  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> (Note that light intensity was set to be greater than average growth conditions to ensure the estimation of maximal electron transport rate). Data were recorded when photosynthesis rates stabilized at a given CO<sub>2</sub> concentration commonly within 2-4 min. The leaf temperatures were controlled at 25°C, VPD varied at 1-1.7 kPa and the flow rate of 500  $\mu$ mol s<sup>-1</sup> for all measurements. The cuvette was sealed with Fun-Tak instead of the standard gasket to lessen leakiness. We also measured gas exchange with fluorescence under light intensity of 150, 100, 75, 50, 20  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>, which we used to first obtain daytime respiration rates using gas exchange and fluorescence (Yin, Sun, Struik, & Gu, 2011; Yin, Sun, Struik, Gu, Van der Putten, et al., 2011). The estimated daytime respiration was then used as an input parameter for the following estimation methods for other photosynthesis parameters. We revised the estimation method in Sharkey et al. (2007) to estimate in vivo  $V_{cmax}$  and  $J_{max}$  for  $C_3$ species. The estimation method of Sharkey et al. (2007) was revised to allow the changepoint between different limitation states to be freely determined (to avoid bias) between 5 and 60 Pa (Supporting Information: I). We used the estimation method in Zhou et al. (2019) for  $V_{cmax}$  and  $J_{max}$  (here equal to  $J_{atpmax}$ , because no additional NADPH is consumed in the C<sub>4</sub> cycle, ATP-related electron transport should be the limiting factor and electron transport should not be limited by NADPH production (Yin & Struik, 2012; Yin, Sun, Struik, & Gu, 2011; Yin, Sun, Struik, Gu, Van der Putten, et al., 2011) and V<sub>pmax</sub> with one slight methodological change for C<sub>4</sub>. Since it is thought that the region of  $CO_2$ -limited A/C<sub>i</sub> is very narrow in C<sub>4</sub>, we assigned the C<sub>i</sub> regions limited by carbonic anhydrase, V<sub>pmax</sub> and V<sub>cmax</sub> with very

low criteria of 5 Pa or below. We let the data points with  $C_i$  ranging from 5 to 60 Pa CO<sub>2</sub> to be freely determined by which of the four potential limitation states to minimize the estimation error, which follows Yin, Sun, Struik, Gu, Van der Putten, et al. (2011). Using this method, we avoided the potential bias of including optimal perspectives to the estimation method, which could occur when directly assigning the cross points colimited by  $V_{cmax}$ ,  $V_{pmax}$  and  $J_{atpmax}$ .

Furthermore, for comparison to the measured  $J_{atpmax}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$  value, we collected in vitro measured values for  $V_{cmax}$  and  $V_{pmax}$  from published research, which includes 11 studies with 87 averaged results reported under current and varying environmental conditions (Supporting Information: II). Since it is impossible to obtain in vitro  $J_{atpmax}$ , the estimation of  $J_{atpmax}$  from  $A/C_i$  curves were used. We also obtained the corresponding  $A/C_i$  curves from these studies, if they were reported, to obtain the  $J_{atpmax}$ . The combination of in vivo and in vitro measurements yield a good representation of current  $J_{atpmax}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$  states in the C<sub>4</sub> plants.

#### 2.3 | Chlorophyll measurements and leaf nitrogen

Chlorophyll contents were measured using the spectrophotometer method (Porra et al., 1989). We cut the fresh leaves of species into pieces of 0.5 mm long, took a photo of the fragments to measure the total leaf area (ImageJ, version 1.48) and submerged the fragments into DMF. After all the Chlorophyll was extracted and the leaves turned white, the supernatant was used to measure the absorption under 663.8 nm and 646 nm. Total Chlorophyll concentrations were calculated using the equation of Porra et al. (1989). We measured leaf nitrogen content for each sample using the CHNOS analyser (ECS4010; Costech Analytical Technologies Inc.).

#### 2.4 | Phylogenetic comparative analysis

We extracted the dated phylogenetic tree from (i) Spriggs et al. (2014) and a non-dated phylogenetic tree from (ii) GPWG II (2012) for our measured species (Supporting Information: Figure S2). The original trees had branch classifications as C<sub>4</sub> or C<sub>3</sub>. To carry over the aspect of evolutionary time, we used R package 'phytools' pruned the tips of nontarget species (species not in our collection), maintained branch lengths of our target species, and kept the branch with the original information of  $C_3$  or  $C_4$  (Supporting Information: Figure S2). This resulted in extracted phylogenetic trees containing only our target species. We performed the analyses for both trees to ensure that the analyses were not biased by (A) differences in the rate of evolution across lineages by using the non-dated tree (which are 'hidden' in the dated tree) nor (B) differences in age by using the dated tree (which cannot be seen in the non-dated tree). We used both trees for our analyses as more rigorous support for our results and conclusions. We fitted each of the photosynthetic parameters (Amax, Vcmax, Jatpmax, Jatpmax/Vcmax, Total Chl, Nitrogen, Vpmax and

V<sub>pmax</sub>/V<sub>cmax</sub>) to 10 different evolutionary models falling into Brownian Motion models (BM, traits evolve randomly in direction and distance from root states) and Ornstein-Uhlenbeck models (OU, traits evolve under stabilized selection towards root states) using the R package of 'mvMORPH' (Supporting Information: Table S1). Because different traits may follow different evolutionary processes, both evolutionary models were used to test whether there were significant differences between C<sub>3</sub> and C<sub>4</sub> or among C<sub>4</sub> subtypes (NADP-ME and NAD-ME) and the best-fitted models were chosen. The small-sample-size corrected version of the Akaike information criterion (AICc, the lower AICc, the better fit) and Akaike weights (AICw, the higher AICw, the better fit) were used as criteria to figure out the best-fitted model. We used the likelihood-ratio test (LRT) method to test whether one model variant performs significantly better than others and to determine whether there are significant differences between C<sub>3</sub> and C<sub>4</sub>. We also extract the evolutionary ages/branch lengths for each C<sub>4</sub> species from both phylogenies. For each  $C_3/C_4$  pair, the branch lengths (or ages) were measured from the most recent common ancestor of each pair to the present. We regressed the above photosynthetic traits with evolutionary ages or evolutionary branch length to detect potential evolutionary trends.

#### 2.5 | Physiological modelling

Based on the  $C_3$  and  $C_4$  models constructed in Zhou et al. (2018), which incorporate the soil-plant-air water continuum into traditional C<sub>3</sub> and C<sub>4</sub> photosynthesis models (von Caemmerer, 2000; Farguhar et al., 1980), we added stochiometric correlations between photosynthesis parameters and nitrogen to consider the optimal nitrogen partition among photosynthetic systems. We also incorporated updated stoichiometric coefficients for the RuBP regeneration (electron transport) and independently considered the maximum rate of electron transport related to ATP production and the maximum rate of electron transport related to NADPH production. Different from Zhou et al. (2018), which assumed parameters similarity between C3 and C4 species, C3- and C<sub>4</sub>-specific physiological and biochemical parameters were collected from the literature used to populate the model in this study. Where relevant, we used updated values for the input parameters using the estimation methods mentioned above. The detailed model description, parameterization and modelling codes can be found in Supporting Information: III and IV. Using such a framework, we can model the optimal J<sub>max</sub>/V<sub>cmax</sub> and V<sub>pmax</sub>/V<sub>cmax</sub> simultaneously considering the following nitrogen stoichiometry:

The total nitrogen is the sum of different components (Evans, 1989):

$$N_{org} = N_P + N_E + N_R + N_S + N_O,$$
 (1)

in which  $N_P$  represents the nitrogen in pigment proteins,  $N_E$  represents the nitrogen for the electron transport system,  $N_R$  represents the nitrogen of Rubisco,  $N_S$  represents nitrogen in soluble proteins except for Rubisco and  $N_O$  represents additional organic leaf nitrogen not invested in photosynthetic functions.

To model the optimal  $J_{max}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$ , we need to consider the nitrogen stoichiometry among  $J_{max}$ ,  $V_{cmax}$  and  $V_{pmax}$ . We used empirical relationships found in previous studies (Evans & Poorter, 2001; Niinemets & Tenhunen, 1997; Quebbeman & Ramirez, 2016).

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$$N_{\rm P} + N_E = 0.0331\chi + 0.079J_{\rm max}, \qquad (2)$$

$$V_{\rm S} = v J_{\rm max} \,, \tag{3}$$

$$N_R = \frac{V_{cmax}}{6.25 \times V_{cr} \times \xi},\tag{4}$$

$$N_{PEP} = \frac{V_{pmax}}{6.72 \times V_{pr} \times \xi},$$
(5)

 $\chi$  is the concentration of chlorophyll per unit area (μmol Chl m<sup>-2</sup>), 0.079 is in mmol N s (μmol)<sup>1</sup> representing the electron transport protein nitrogen required per μmol electron transport, and 0.0331 is in mmol N (μmol Chl)<sup>-1</sup> representing pigment protein correlated with per μmol chlorophyll, v ≈ 0.3 mmol Ns (μ mol)<sup>-1</sup> representing nitrogen of soluble protein related to per μmol electron transport. V<sub>cr</sub> is the specific activity of Rubisco (the maximum rate of RuBP carboxylation per unit Rubisco; ≈20.5 µmol CO<sub>2</sub> (g Rubisco)<sup>-1</sup> s<sup>-1</sup> for C<sub>3</sub> and 1.46 times this value for C<sub>4</sub>) and 6.25 is grams RuBisCO per gram nitrogen in RuBisCO. V<sub>pr</sub> is the specific activity of PEPc, that is, the maximum rate of RuBP carboxylation per unit PEPc [≈181.7 µmol CO<sub>2</sub> (g PEPC)<sup>-1</sup> s<sup>-1</sup>], 6.72 is grams PEPc per gram nitrogen in PEPC (calculated from the amino acids composition of Fujita et al., 1984), and ξ is the mass in grams of one millimole of nitrogen equal to 0.014 g N (mmol N)<sup>-1</sup>.

Further, we simplify Equation (2) by assuming there is a coordination of resource allocation between chlorophyll and electron transport for saturated light intensity, which determines the  $J_{max}$ . We make this assumption for the light-saturated condition and use the empirical equation of Croft et al. (2017) to Equation (2)

$$\chi = \frac{\frac{1000 J_{\text{max}}}{2.49}}{\eta},$$
 (6)

where  $\eta$  is the average molar mass for chlorophyll (900 g/mol). Thus,

$$N_{org} - N_{O} = 0.079 J_{max} + 0.0331 \chi + v J_{max} + \frac{V_{cmax}}{6.25 \times V_{cr} \times \xi} + \frac{V_{pmax}}{6.72 \times V_{pr} \times \xi},$$
(7)

For the C<sub>3</sub> pathway, all the nitrogen modelling processes are similar to C<sub>4</sub> and a same value of  $N_{org} - N_O$  is used, except that a simplified version of Equation (7) is used as below (Quebbeman & Ramirez, 2016):

$$N_{org} - N_O = 0.079 J_{max} + 0.0331 \chi + v J_{max} + \frac{V_{cmax}}{6.25 \times V_{cr} \times \xi},$$
 (8)

Because we did not find reliable coefficients for Equations (2), (3) and (6) in the literature for  $C_4$ , we assumed them the same for  $C_3$  and

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C<sub>4</sub>. We also evaluated the potential effects of this assumption using sensitivity analysis (see Section 4). In the optimal modelling processes, we set  $N_{org} - N_O$  as constant of 80 mmol N m<sup>-2</sup> (which yields a  $V_{cmax} = 39 \,\mu\text{mol m}^{-2} \,\text{s}^{-1}$ ,  $J_{atpmax} = 195 \,\mu\text{mol m}^{-2} \,\text{s}^{-1}$  and  $V_{pmax} = 78 \,\mu\text{mol m}^{-2} \,\text{s}^{-1}$ , if assuming  $J_{atpmax}/V_{cmax} = 5$  and  $V_{pmax}/V_{cmax} = 2$  similar to previous papers [Collatz et al., 1992; Osborne & Sack, 2012]). Using these models, we modelled the assimilation rates with different  $J_{atpmax}/V_{cmax}$  from 1 to 8 of 0.01 interval and different  $V_{pmax}/V_{cmax}$  from 0.5 to 5 of 0.01 to find the globally optimal assimilation rate with respect to both  $J_{atpmax}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$ . The corresponding  $J_{atpmax}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$  under the highest assimilation rates represent the optimal ratios. Then, we also modelled the locally optimal  $J_{max}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$  when constraining the corresponding  $V_{pmax}/V_{cmax}$  and  $J_{max}/V_{cmax}$  with the average measured values, respectively.

For  $J_{\text{max}}$ , we consider both maximal electron transport for ATP formation ( $J_{\text{atpmax}}$ ) and for NADPH formation ( $J_{\text{nadphmax}}$ ). Using the model described above, we were able to model the optimal  $J_{\text{atpmax}}/V_{\text{cmax}}$  and  $J_{\text{nadphmax}}/V_{\text{cmax}}$  individually through updating the equations related to electron transport in the original models and stoichiometry (Equations 9 and 10).

$$A_{j,atp} = \frac{(1 - x)J_{atpmax}(C_{bs} - \gamma \times O_{bs})}{x_1 C_{bs} + x_2 \gamma \times O_{bs}} - R_d,$$
(9)

$$A_{j,nadph} = \frac{J_{nadphmax}(C_{bs} - \gamma \times O_{bs})}{x_1 C_{bs} + x_2 \gamma \times O_{bs}} - R_d,$$
(10)

The stoichiometry for C<sub>4</sub> subtypes of NADP-ME and NAD-ME were considered similar (Takabayashi et al., 2005; Y. Wang et al., 2014; Yin & Struik, 2012). Electron transport relationships are  $x_1 = 4$  and  $x_2 = 28/3$  for Equation (9) and  $x_1 = 4$  and  $x_2 = 8$  for Equation (10). Here x denotes the electron transport allocated to the C<sub>4</sub> cycle, which was assumed to be 0.4.

First, we modelled optimal  $J_{atpmax}/V_{cmax}$ ,  $J_{nadphmax}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$  under saturated light intensity similar to the experimental measurements and atmospheric CO<sub>2</sub> of 400 ppm and 25°C with two water-availability schemes to allow for variation in water supply: VPD = 1.25 kPa,  $\psi_{s}$  = -1 MPa and VPD = 0.625 kPa,  $\psi_{s}$  = -0.5 MPa (we considered these two different water conditions to represent the potential variability in our growth condition). We then modelled the optimal  $J_{atpmax}/V_{cmax}$ ,  $J_{nadphmax}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$ under a series of environmental gradients: atmospheric CO<sub>2</sub> of 200, 300, 400, 500 and 600 ppm; VPD and  $\psi_{s}$  of (0 MPa, -0.15 kPa) (0.625, -0.5), (1.25, -1), (1.875, -1.5) and (2.5, -2); the temperature of 15, 20, 25, 30 and 35°C. We did not model different light intensities because the light response for C<sub>4</sub> requires multiple parameters for which there are not yet established values. To analyse the effects of different nitrogen content, we performed sensitivity analysis for the nitrogen (from 100% to 50% with 10% interval of the regular nitrogen considered above) for optimal J<sub>atpmax</sub>/  $V_{cmax}$ ,  $J_{nadphmax}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$ . Since there is potential uncertainty for stochiometric relationships, other physiological parameters and enzyme kinetics, we performed sensitivity analysis

for mesophyll conductance, bundle sheath conductance, Michalis-Menten constants of Rubisco carboxylation ( $K_c$ ), Michalis-Menten constants of PEP carboxylation ( $K_p$ ), the stoichiometry of Rubisco, 1/( $6.25 \times V_{cr} \times \xi$ ) term in Equation (4), the stoichiometry of the PEPC, 1/( $6.72 \times V_{pr} \times \xi$ ) term in Equation (5) and the stoichiometry of electron transport (0.079 + 0.031  $\times \frac{1000}{2.49}/\eta + v$ ) term in Equation (7), from 50% to 400%.

Using the model, we also simulated the effect of decreasing  $V_{cmax}$  on the assimilation rate of both the C<sub>3</sub> and C<sub>4</sub> pathways. In this modelling process, we hold  $J_{atpmax}$ ,  $V_{pmax}$  and other photosynthetic parameters constant as the initial modelling condition as above, but varying the  $V_{cmax}$  to 100%, 90%, 80%, 70%, 60% and 50% of the original values of C<sub>3</sub>.

### 3 | RESULTS

## 3.1 | $C_4$ had higher $J_{atpmax}/V_{cmax}$ and higher Chl a/b than $C_3$

Phylogenetic comparative analysis showed the J<sub>atpmax</sub>/V<sub>cmax</sub> followed the OU model, a stable evolutionary process and C<sub>4</sub> had a higher  $J_{\text{atpmax}}/V_{\text{cmax}}$  than C<sub>3</sub> species did (Table 1; Figure 1a). We looked further into how such a higher  $J_{\text{atpmax}}/V_{\text{cmax}}$  in C<sub>4</sub> was reached by comparing individual empirical parameters. C<sub>4</sub> species had equivalent stable states of  $J_{\text{atpmax}}$  in the evolutionary model, but significantly lower stable states of  $V_{cmax}$  and nitrogen content than closely related C<sub>3</sub> species (Table 1). Also, C<sub>4</sub> had a significantly higher Chl a/b ratio than that in their closely related  $C_3$ , but a lower nitrogen content (Table 1). For most of the traits, the evolutionary model did not detect significant differences between NADP-ME and NAD-ME subtypes, but NAD-ME had a higher V<sub>pmax</sub> than NADP-ME (Supporting Information: Tables S2-S9). The empirical results for our phylogenetically controlled comparisons were shown in Supporting Information: Figure S3.

#### 3.2 | $A_{max}$ , $J_{atpmax}$ , total chlorophyll, $V_{cmax}$ and $V_{pmax}$ were positively correlated with evolutionary age

Plotting the photosynthetic parameters with evolutionary ages (ranging from 33 to 10 MYA), extracted from the above phylogenies for the multiple lineages, allowed us to look for further evolutionary trends in C<sub>4</sub> and their closely related C<sub>3</sub> species. Regressions of evolutionary age versus photosynthetic traits provided signals for long-term directional trends in photosynthetic machinery following the establishment of C<sub>4</sub> photosynthesis (Figure 2, Supporting Information: Figure S4).  $A_{max}, J_{atpmax}$ , total chlorophyll,  $V_{cmax}$  and  $V_{pmax}$  showed significant positive correlations with evolutionary age in C<sub>4</sub>, but not C<sub>3</sub>, while nitrogen,  $J_{atpmax}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$  did not show significant correlation with evolutionary age. **TABLE 1** Phylogenetic comparativeresults of the best-fitted evolutionarymodels and their parameters forphotosynthesis parameters (detaileddescription of the models are inSupporting Information: Table S1; resultssummarizing Supporting Information:Table S2-S9)

				Root	
Property	Model	Model type	AICw	C <sub>3</sub>	<b>C</b> <sub>4</sub>
$J_{\rm atpmax}/V_{\rm cmax}$	Model 6 <sup>a</sup>	OU	0.706	1.56	5.25
V <sub>cmax</sub>	Model 6 <sup>a</sup>	OU	0.695	58.30	21.30
J <sub>atpmax</sub>	Model 1	BM	0.293	107.59	
Total Chl	SubtypeModel 3	BM	0.448	0.40	0.36/0.35
Chl a/b	Model 6 <sup>a</sup>	OU	0.564	3.26	4.19
V <sub>pmax</sub>	SubtypeModel 4 <sup>a</sup>	OU	0.465		52.09/60.66
$V_{pmax}/V_{cmax}$	Model 1	BM	0.456		2.11
Nitrogen	Model 6 <sup>a</sup>	OU	0.622	3.72	2.59

Note: BM represents the Brownian Motion model (traits evolve randomly in direction and distance from root states, Model 1–4 and SubtypeModel 1–3) and OU represents the Ornstein-Uhlenbeck Model (traits evolve under stabilized selection towards root states, Model 5–6 and SubtypeModel 4). Models were used to test whether C3, C4 and C4 subtypes (NADP-ME and NAD-ME) have different evolutionary states. Root represents stable-state estimation from the evolutionary models. If the root values for C3 and C4 were different, it meant there were significant different values for C3 and C4 species (the evolutionary model with two different values of the root fit significantly better than the evolutionary model with the similar root). If the root values for C4 have a '/', it means the C4 subtypes (NADP-ME/NAD-ME) are different.

<sup>a</sup>Whether the model fits significantly better than the other models using the likelihood-ratio test. Replication number = 6.



**FIGURE 1** Empirical measurements (a) and optimal modelling results (b) of  $J_{atpmax}/V_{cmax}$  for  $C_3$  and  $C_4$  and  $J_{nadpmax}/V_{cmax}$  for  $C_4$  under  $\psi_s = -1$  MPa, VPD = 1.25 kPa, temperature of 25°C and saturated light intensity, the cultivating environmental condition. In (b), the black line represents  $J_{atpmax}/V_{cmax}$  for  $C_3$ , solid red line represents  $J_{atpmax}/V_{cmax}$  for  $C_4$  modelling results with controlling  $V_{pmax}/V_{cmax}$  at the in vivo measurement level, grey line represents  $J_{nadpmax}/V_{cmax}$  for  $C_4$  modelling results with controlling  $V_{pmax}/V_{cmax}$  at the in vivo measurement level.

# 3.3 | Measured $J_{atpmax}/V_{cmax}$ follow modelled global optima in C<sub>4</sub>, but $V_{pmax}/V_{cmax}$ did not

Although  $J_{atpmax}$ ,  $V_{cmax}$  and  $V_{pmax}$  showed variations across measured in vivo measurements, in vivo  $J_{atpmax}/V_{cmax}$  were consistent with the optimal predictions under current atmospheric CO<sub>2</sub> conditions of 400 ppm (Figures 1 and 3). In contrast, measurement-derived  $V_{pmax}/V_{cmax}$  fell into the optimal range under atmospheric CO<sub>2</sub> of 200 ppm (Figures 3 and 4). The global optima modelling results indicated maximal photosynthesis at the  $J_{atpmax}/V_{cmax}$  of 4.5–5.5, while the optimal range for  $V_{pmax}/V_{cmax}$  for C<sub>4</sub> 1.4–2.0 at CO<sub>2</sub> of 200 ppm, but decreasing to 0.8–1.4 when CO<sub>2</sub> reached 400 and 600 ppm (Figure 3, Supporting Information: Figure S5). The averaged in vitro (data gathered from the literature) and in vivo (this study)  $J_{atpmax}/V_{cmax}$  were consistent with the global optimal predictions under CO<sub>2</sub> of 400 ppm (Figures 1a and 3, Supporting Information: Figures S5a,

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**FIGURE 2** The regression for maximal assimilation rate ( $A_{max}$ ),  $J_{atpmax}$ , total chlorophyll (Total chl),  $V_{cmax}$ ,  $V_{pmax}$ , nitrogen concentration,  $J_{atpmax}/V_{cmax}$ ,  $V_{pmax}$ ,  $J_{atpmax}/V_{pmax}$  and chla/b ratio versus the evolutionary age for the nine origins to show the evolutionary trend within C<sub>4</sub> (red, regression for all NADPME and NADME species, because we did not found significant differences between these two subtypes) and within their closely related C<sub>3</sub> species (black) using the dated phylogenetic tree of Spriggs et al. (2014). Black dot: C<sub>3</sub> species; red square: C<sub>4</sub> species of NADPME subtype; red diamond dot: C<sub>4</sub> species of NADME subtype. Replication number = 6. [Color figure can be viewed at wileyonlinelibrary.com]

S6, and II), as well as the locally optimal predictions controlling  $V_{pmax}/V_{cmax}$  at the in vivo and in vitro level (Figure 4, Supporting Information: Figure S7). The averages of in vitro and in vivo  $V_{pmax}/V_{cmax}$  were, however, outside of the optimal predictions of global optima at CO<sub>2</sub> of 400 ppm, while the measurement results were

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consistent with optimal conditions at CO<sub>2</sub> of 200 ppm (Figures 3 and 4, Supporting Information: Figure S5, S7, S8, and II). The 3D images and the contour plots also illustrated that when  $J_{\text{atpmax}}/V_{\text{cmax}}$  was at the optimal range where photosynthesis was greatest, the assimilation surface was quite flat and photosynthesis showed only a



**FIGURE 3** (See caption on next page)



**FIGURE 4** Empirical measurements (a) and optimal modelling results (b) of  $V_{pmax}/V_{cmax}$  for C<sub>4</sub> under  $\psi_{s} = -1$  MPa, VPD = 1.25 kPa, temperature of 25°C and saturated light intensity, the cultivating environmental condition. In (b), solid red line represents C<sub>4</sub> modelling results with controlling  $J_{max}/V_{cmax}$  at the in vivo measurement level. VPD, vapour-pressure deficit. [Color figure can be viewed at wileyonlinelibrary.com]

mild decline as V<sub>pmax</sub>/V<sub>cmax</sub> moved away from optimal values. When  $J_{\rm atpmax}/V_{\rm cmax}$  dropped outside of the optimal ranges, however, there were sharp decreases of photosynthesis (Figure 3). Optimal results for J<sub>atpmax</sub>/V<sub>cmax</sub> and J<sub>nadphmax</sub>/V<sub>cmax</sub> in C<sub>3</sub> species did not display large differences (Supporting Information: Figure S9). Thus, we only reported and compared  $J_{atpmax}/V_{cmax}$  in C<sub>3</sub> species. Optimal  $J_{nadphmax}/V_{cmax}$  and  $J_{atpmax}/V_{cmax}$  were quite different for C<sub>4</sub>.  $J_{nadphmax}/V_{cmax}$  at 400 ppm was higher in C<sub>4</sub> (3.14) than that in C<sub>3</sub> (1.65), but  $J_{nadphmax}/V_{cmax}$  was lower than  $J_{atpmax}/V_{cmax}$  in C<sub>4</sub> (Figure 1b, Supporting Information: Figure S6b). In vitro measurements indicated large variation in  $J_{\text{atpmax}}/V_{\text{cmax}}$  and  $V_{\text{pmax}}/V_{\text{cmax}}$  at the species level, which might result from true species-specific differences or from varied growth conditions across the published experiments. Such variation could lessen the comparability with our modelling results, but our in vivo results did fall into the range of in vitro results (Figures 1a and 4a). Therefore, the in vitro results could be used as at least a basic reference to indicate the potential variations of these traits in extant species.

## 3.4 | Decreasing $V_{cmax}$ had little effects on the assimilation rates of $C_4$

As we found  $C_4$  had a decreased  $V_{\rm cmax}$  mentioned above, we examined the potential effects of the decreased  $V_{\rm cmax}$  on the

assimilation rate using a modelling procedure. When performing the modelling processes, we held the  $J_{atpmax}$  and  $V_{pmax}$  constant and changed the  $V_{cmax}$  from 100% to 50% of the original C<sub>3</sub> parameter values. A decrease in  $V_{cmax}$  would significantly decrease the assimilation rates of C<sub>3</sub> species from 10°C to 35°C under different atmospheric CO<sub>2</sub> concentrations, while decreasing  $V_{cmax}$  had little effect on the assimilation rates of C<sub>4</sub> species (Figure 5).

## 3.5 | Sensitivity analysis for optimal $J_{\text{atpmax}}/V_{\text{cmax}}$ and $V_{\text{pmax}}/V_{\text{cmax}}$

There was a large variation in total nitrogen content and the multiple photosynthetic parameters (mesophyll resistance, PEPc stoichiometry,  $K_p$ ,  $K_c$ , Rubisco stoichiometry, electron transport stoichiometry and bundle sheath conductance) among species. Thus, we used sensitivity analyses to examine whether these variations affected our modelling results, and we found the optimal modelling of  $J_{atpmax}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$  were robust (Supporting Information: Figure S10). Variation in nitrogen content and mesophyll resistance led to significant variation in assimilation rates, however, the optimal  $J_{atpmax}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$  changed little in C<sub>3</sub> and C<sub>4</sub> photosynthesis (Supporting Information: Figure S10 and S11). We modelled a less conservative nitrogen stoichiometry compared to Figure 3

**FIGURE 3** Modelling results of assimilation rate with respect to maximal electron transport to maximal Rubisco carboxylation ( $J_{atpmax}/V_{cmax}$ ) and maximal PEP carboxylation to maximal Rubisco carboxylation ( $V_{pmax}/V_{cmax}$ ) under atmospheric CO<sub>2</sub> concentration of 200 (a, b), 400 (c, d) and 600 ppm (e, f). Other environmental conditions are soil water potential ( $\psi_s$ ) = -1 MPa, VPD = 1.25 kPa, temperature of 25°C and saturated light intensity, a common grassland condition. Left: 3D plot (a, c, e); right: corresponding contour plot (b, d, f). 3D, 3-diemnsional; VPD, vapour-pressure deficit. [Color figure can be viewed at wileyonlinelibrary.com]



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**FIGURE 5** Modelling results of changing  $V_{cmax}$  on assimilation rates for C<sub>3</sub> (acd) and for C<sub>4</sub> (bdf) under different atmospheric CO<sub>2</sub> of 200, 400 and 600 ppm by holding other parameters as constants. Solid black line: the initial modelling condition of  $V_{cmax}$  (a typical C<sub>3</sub> value of 69 µmol m<sup>-2</sup> s<sup>-1</sup>); dashed black line: 90% of the initial  $V_{cmax}$ ; dotted black line: 80% of the initial  $V_{cmax}$ ; solid grey line: 70% of the initial  $V_{cmax}$ ; dashed grey line: 60% of the initial  $V_{cmax}$ ; dotted grey line: 50% of the initial  $V_{cmax}$ .

(33% more leaf nitrogen allocated to photosynthesis), which yielded similar and robust results compared to Figure 3 (Supporting Information: Figure S5). The optimal  $J_{atpmax}/V_{cmax}$  was relatively constant with the change of mesophyll resistance, PEPc stoichiometry and  $K_p$  and showed more variation with  $K_c$ , Rubisco stoichiometry, electron transport stoichiometry and bundle sheath conductance (Supporting Information: Figure S10 and S11). The optimal  $V_{pmax}/V_{cmax}$  was relatively robust with the change of bundle sheath conductance,  $K_c$ , Rubisco stoichiometry and electron transport stoichiometry, but showed more variation with mesophyll resistance and  $K_{\rm p}$ .

# 3.6 | Optimal variation of $J_{atpmax}/V_{cmax}$ and $V_{pmax}/V_{cmax}$ with environmental conditions

To understand how the  $J_{atpmax}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$  varied theoretically in response to environmental changes (Figure 6), we



**FIGURE 6** Modelling results of optimal  $J_{atpmax}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$  for C<sub>3</sub> (black lines) and C<sub>4</sub> (solid and dashed red lines) under different environmental conditions. (a) different atmospheric CO<sub>2</sub>; (b) different water limitation conditions: 1: saturated water; 2:  $\psi_{s} = -0.5$  MPa, VPD = 0.625 kPa; 3:  $\psi_{s} = -1$  MPa, VPD = 1.25 kPa; 4:  $\psi_{s} = -1.5$  MPa, VPD = 1.875 kPa; 5:  $\psi_{s} = -2$  MPa, VPD = 2.5 kPa); (c) different VPD (kPa); (d) different soil water potential (MPa); (e) different temperature. Black line:  $J_{atpmax}/V_{cmax}$  for C<sub>3</sub>; solid red line:  $J_{atpmax}/V_{cmax}$  for C<sub>4</sub>. Modelling results were obtained by controlling the other parameter at the in vivo measurement level. VPD, vapour-pressure deficit. [Color figure can be viewed at wileyonlinelibrary.com]

calculated their optimal value for varying atmospheric CO<sub>2</sub> concentrations, water limitations, and temperatures. The optimal  $J_{\text{atpmax}}/V_{\text{cmax}}$  was predicted to increase linearly in C<sub>3</sub> with a steeper slope than that in C<sub>4</sub> with increasing CO<sub>2</sub> concentration (Figure 6a). The optimal  $J_{\text{atpmax}}/V_{\text{cmax}}$  in both C<sub>3</sub> and C<sub>4</sub> decreased similarly along with increasing water limitation (Figure 6b). The  $J_{\rm atpmax}/V_{\rm cmax}$  decreased, then increased in C<sub>4</sub>, but always increased in C<sub>3</sub>, with the rise in temperature from 15°C to 35°C (Figure 6e). The changes of  $J_{atpmax}/V_{cmax}$  with water limitation and temperature were nonlinear, with the rate-of-change increasing greatly after a threshold (water limitation of  $\psi_{\rm S}$  = -1, VPD = 1.25 and temperature of 30°C). The optimal  $V_{pmax}/V_{cmax}$ decreased along with the increase of the CO<sub>2</sub> concentration, especially when CO<sub>2</sub> increased from 200 to 300 ppm, but the change was little when  $CO_2$  was above 400 ppm (Figure 6a). However,  $V_{pmax}/V_{cmax}$  was relatively constant with the varying of water limitation conditions and temperature (Figure 6b,e). Both VPD and soil water potential affected the  $J_{\text{atpmax}}/V_{\text{cmax}}$  in C<sub>3</sub> and C4 species, and soil water potential showed a greater effect (Figure 6c,d, Supporting Information: Figure S12). In C<sub>4</sub>, V<sub>pmax</sub>/

 $V_{\rm cmax}$  increased slightly with the increase of VPD, and decreased with soil water potential.

### 4 | DISCUSSION

# 4.1 | Explaining the current $J_{atpmax}/V_{cmax}$ and $V_{pmax}/V_{cmax}$ in C<sub>4</sub>

Our modelling efforts provide an explanation for the observed variation in  $J_{atpmax}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$ , and why  $V_{pmax}/V_{cmax}$  in C<sub>4</sub> appears to be optimized for the lower bounds of atmospheric CO<sub>2</sub> of the Pleistocene (~200 ppm) (Figure 3, Supporting Information: Figure S5 and S8). Our reported values of  $V_{pmax}/V_{cmax}$  are comparable with previous studies (Kubien et al., 2003; Pengelly et al., 2010; Pignon & Long, 2020; Yin et al., 2016), and two recent papers also indicated that the coordination between CB and C<sub>4</sub> cycles is more appropriate for low CO<sub>2</sub> conditions (Pignon & Long, 2020; Sundermann et al., 2018). All extant C<sub>4</sub> species have gone through a low CO<sub>2</sub> bottleneck over the last 5 million years

(Edwards et al., 2010). This bottleneck may have resulted in a strong selection to increase  $V_{pmax}/V_{cmax}$  to maintain a high assimilation rate under the low CO<sub>2</sub> of glacial maxima (~200 ppm). As CO<sub>2</sub> has risen, first with the beginning of the Holocene interglacial, and then again with the continual burning of fossil fuels, V<sub>pmax</sub>/V<sub>cmax</sub> did not change along with  $CO_2$  and consequently exceeded the optimal  $V_{pmax}/V_{cmax}$ at higher CO<sub>2</sub>. The effects of a higher (non-optimal)  $V_{pmax}/V_{cmax}$  on assimilation rate are, however, minimal, thus the selection against a higher V<sub>pmax</sub>/V<sub>cmax</sub> was likely weak. The explanation directly rests on the topology of the assimilation surface: when  $J_{\text{atomax}}/V_{\text{cmax}}$  and  $V_{pmax}/V_{cmax}$  are lower than the optimal states, the assimilation rate declines greatly; but when  $J_{atpmax}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$  exceed the optimal states, the decrease of assimilation rate is minimal. The findings of non-optimal  $V_{pmax}/V_{cmax}$  indicated that such small changes in assimilation rate might open opportunities for other environmental or physiological factors, which were not modelled here, to constrain the optimization of C<sub>4</sub> cycle interactively. Considering interactions of multiple factors, artificial selection and manipulation to change the  $J_{atpmax}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$  towards the optimal states, however, might show potential in regard to increasing total assimilation rate and productivity (Pignon & Long, 2020; Walker et al., 2018). Also, contrary to what Sage and McKown (2006) proposed,  $C_4$  might exhibit significant acclimation capability with varying CO<sub>2</sub> (Pinto et al., 2014, 2016), water availability (Sharwood et al., 2014), light intensity (Pengelly et al., 2010; Sharwood et al., 2014; Sonawane, 2016) and temperature (Kubien & Sage, 2004; Pittermann & Sage, 2001; Serrano-Romero & Cousins, 2020; Sonawane, 2016) in both Jatpmax/Vcmax and Vpmax/Vcmax (Supporting Information: II, Figure 6). Finally, we note that our model applies to NADP-ME and NAD-ME subtypes in C<sub>4</sub>, and may not be applied to PEP-CK subtypes as the ATP stoichiometry is currently unclear and likely different from NADP-ME/NAD-ME (Yin & Struik, 2018, 2021).

# 4.2 | Coordination within $C_4$ photosynthetic machinery faced strong initial selection, but the maximal assimilation rate continued to evolve

The combined physiological and phylogenetic comparative analysis shows that there were several physiological measures that changed with evolutionary age, but there were no trends with photosynthetic coordination (Figure 2, Supporting Information: Figure S4). The lack of trend with photosynthetic coordination suggests there was very strong initial selection for coordination between the CB cycle, light reactions and the C<sub>4</sub> CCM. This strong selection could help explain that while there are many examples of stable intermediates between C<sub>3</sub> and C<sub>2</sub> photosynthesis (Lundgren & Christin, 2017; Mallmann et al., 2014; Sage et al., 2018; Schüssler et al., 2017), there are few examples of intermediates displaying a gradual integration of the CB cycle with the C<sub>4</sub> CCM (Stata et al., 2019). Our analysis, therefore, supports the concept that the shift to full C<sub>4</sub> was more punctuated, as suggested by Stata et al. (2019), and less of a gradual shift as



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hypothesized by Heckmann et al. (2013). In a genome-based analysis, Bianconi et al. (2020) recently showed rapid protein changes at the initial origin of C<sub>4</sub> evolution within the Andropogoneae that was followed by a prolonged period of diversification of C<sub>4</sub> phenotypes. Their results, in concert with ours, suggest that coordination between the C<sub>4</sub> CCM and the CB cycle were part of this initial origin, and that this coordination was maintained as protein catalytic properties kept while other physiological measures (e.g., protein stability, turnover) changed as species spread into new ecological niches. We found distinct phylogenetic differences in several physiological measures (Figure 2), which demonstrate either selection across various habitats led to further adjustment of physiological optima as was found in the Andropogoneae (Stata et al., 2019; Williams et al., 2013) and/or phylogenetic constraints within a lineage even before the evolution of the fully integrated C<sub>4</sub> CCM. While speculative, we propose that changes in secondary or tertiary traits like the ratio of mesophyll cells to bundle-sheath cells, the 3D arrangement of cells and shifts in intercellular airspace could also be selected upon to increase, for example, maximum CO<sub>2</sub> assimilation rate through time leading to a more optimal  $C_4$  photosynthetic machine (Alonso-Cantabrana et al., 2018; Bianconi et al., 2020; Edwards, 2019; S. Wang et al., 2017). Regardless of the mechanism, there are significant physiological differences among lineages that should be considered for future work on comparative physiology.

# 5 | THE MECHANISTIC AND ECOLOGICAL IMPLICATIONS OF NITROGEN REALLOCATION IN $C_4$

Higher  $J_{atpmax}/V_{cmax}$  and  $J_{nadphmax}/V_{cmax}$  in C<sub>4</sub> than that in C<sub>3</sub> indicated a change in resource allocation, namely nitrogen, between the light reactions and the CB cycle, and as a crucial evolutionary step for elevating C<sub>4</sub> efficiency (Figure 1, Table 1). Because the CCM requires additional ATP and not NADPH, the optimal J<sub>atpmax</sub>/V<sub>cmax</sub> is higher than  $J_{nadphmax}/V_{cmax}$  in C<sub>4</sub>. However, both are higher than  $J_{\text{atpmax}}/V_{\text{cmax}}$  or  $J_{\text{nadphmax}}/V_{\text{cmax}}$  in C<sub>3</sub> due to concentrated CO<sub>2</sub> in the bundle sheath. The sensitivity analyses reveal that the relative relationships between C<sub>3</sub> and C<sub>4</sub> hold, indicating that our results are robust. The modelling results indicate that a decrease of Rubisco content is favoured in C<sub>4</sub>, because overall nitrogen requirements decrease and such a reduction has minimal effects on net assimilation rate. Significantly lower V<sub>cmax</sub> in all of our C<sub>4</sub> and lower Rubisco in previous studies confirmed the assertion (Brown, 1978; Ku et al., 1979; Sage & Pearcy, 1987; Sharwood et al., 2016). Any surplus nitrogen not invested in Rubisco could be distributed among three broad categories: (i) Reallocated to the light reactions or ii) stored or used to construct new tissues, defense, reproduction and so on or (iii) simply not taken up from the growth environment, thus reducing total plant nitrogen requirements. Tissue et al. (1995) and Ghannoum et al. (2010) detected lower Rubisco content and higher chlorophyll and thylakoid content in C<sub>4</sub> species, supporting resource reallocation from RuBP carboxylation to electron transport within the leaf. Our

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measurements provided evidence that the coordination of J<sub>atpmax</sub>/  $V_{\rm cmax}$  resulted from a mix of hypotheses (i) and (iii), as these hypotheses are not mutually exclusive. The significantly higher  $J_{\text{atpmax}}$ and lower V<sub>cmax</sub> in C<sub>4</sub> than their closely related C<sub>3</sub> species supports a reallocation of hypothesis (i). In addition, C<sub>4</sub> grasses have significantly lower nitrogen content, which means C<sub>4</sub> had a reduced nitrogen uptake and thus, hypothesis (iii) likely occurred together with hypothesis (i). Hypothesis (ii), not exclusive to hypotheses (i) and (iii), could be supported by evidence that C<sub>4</sub> plants maintain larger leaf areas (Ripley et al., 2007). These hypotheses are connected to potential ecological ramifications. First, in a nitrogen-depleted habitat,  $C_4$  could have a competitive advantage as confirmed by Ripley et al. (2007), although Sage and Pearcy (1987) found no evidence for this. In habitats where nitrogen is not limiting, the excess nitrogen could be used to construct more leaf area (Anten et al., 1995; Ripley et al., 2007; Sage & Pearcy, 1987), and greater leaf area in the early stages of growth was indeed seen by Atkinson et al. (2016). On the other hand, the lack of nitrogen reallocation from the CB cycle to the light reactions may indicate physiological constraints in fertile habitats. For example, photorespiration in C<sub>3</sub> plants is proposed to enhance nitrate metabolism (Bauwe et al., 2010; Bloom, 2015; Oaks, 1994; Rachmilevitch et al., 2004), therefore, the formation of CCM, which inhibits photorespiration, may reduce overall plant-available nitrogen. In addition, the increase of  $J_{\text{atomax}}$  in  $C_4$  could be due to an enhanced cyclic electron transport or other processes producing only ATP, not NADPH, while maintaining the linear electron transport at the same level of C<sub>3</sub>. Elevating cyclic electron transport or other processes is, therefore, a potentially important step in engineering  $C_4$  photosynthesis into  $C_3$  crops.

# 6 | OPTIMAL $J_{ATPMAX}/V_{CMAX}$ AND $V_{PMAX}/V_{CMAX}$ CAN HELP TO PARAMETERIZE LAND SURFACE MODELS (LSMS)

It has recently been proposed that taking a lineage-based, or evolutionary, approach to LSMs parameterization would represent a more realistic approach to capture functional diversity (Griffith et al., 2020). In addition to the recognition of lineage-specific traits mentioned above, our work here can benefit LSMs through improved estimates of variation. In the modelling perspective, we showed that photosynthesis models were sensitive to J<sub>atomax</sub>/V<sub>cmax</sub> and the lower end of  $V_{pmax}/V_{cmax}$ , thus, assigning accurate values for them is important, and our improved estimates of  $J_{atpmax}/V_{cmax}$  and  $V_{pmax}/$ V<sub>cmax</sub> for C<sub>4</sub> plants could directly improve predictions from terrestrial biosphere models. Although  $J_{\text{atpmax}}$ ,  $V_{\text{cmax}}$  and  $V_{\text{pmax}}$  are key input parameters in global-scale models (Beerling & Quick, 1995; Bonan et al., 2011; Walker et al., 2014; Zaehle et al., 2005), it is difficult and perhaps not feasible to measure all parameters for numerous sites. By utilizing the ratioed parameters described here, either  $J_{\text{atpmax}}/V_{\text{cmax}}$ and  $V_{pmax}/V_{cmax}$ , other parameters could be estimated. Using  $J_{atpmax}/$  $V_{cmax}$  and  $V_{pmax}/V_{cmax}$  is especially crucial in C<sub>4</sub> because in vivo estimation of V<sub>cmax</sub> and V<sub>pmax</sub> is more difficult and less reliable, and in

vitro measurements are not easily performed over broad taxonomic or spatial scales. We also predicted how optimal  $J_{atpmax}/V_{cmax}$  and  $V_{pmax}/V_{cmax}$  values could vary with varying environmental conditions. Such optimal behaviour could represent the plasticity or acclimation of species to environmental variations. Thus, adjusting  $J_{atpmax}/V_{cmax}$ and  $V_{pmax}/V_{cmax}$  according to these optimal predictions in LSMs could help to incorporate plant acclimation, which has long been ignored (Rogers et al., 2017; Smith & Keenan, 2020). Future greenhouse or growth chamber experiments together with our optimal modelling results would further benefit acclimation modelling.

## 7 | EVALUATION OF THE ASSUMPTIONS IN THE MODELLING, POTENTIAL CAVEATS AND FUTURE RESEARCH

Finally, we must highlight potential caveats and evaluate of some imperfect assumptions in the current study. We assumed C<sub>3</sub> and C<sub>4</sub> did not differ in nitrogen allocation and nitrogen stoichiometry due to the lack of reliable coefficients for equations in  $C_4$ . This is unlikely and brought some uncertainty to the results. Sensitivity analysis of Rubisco stoichiometry  $(1/(6.25 \times V_{pr} \times \xi))$  in Equation 4), PEPc stoichiometry  $(1/(6.72 \times V_{cr} \times \xi))$  in Equation (5) and electron transport stoichiometry ((0.079 + 0.031 ×  $\frac{1000}{2.49}$ / $\eta$  + v) in Equation 7) indicates such an assumption may have an effect on computed  $J_{atpmax}/V_{cmax}$ , but not V<sub>pmax</sub>/V<sub>cmax</sub> for C<sub>4</sub> species (Supporting Information: Figure S10). The sensitivity analysis of nitrogen mitigates the uncertainty to a degree by showing although varying nitrogen affected assimilation rates, the  $J_{\text{atpmax}}/V_{\text{cmax}}$  ratio and  $V_{\text{pmax}}/V_{\text{cmax}}$ were relatively robust (Supporting Information: Figure S10). In the current study, we used averaged values for mesophyll conductance, bundle sheath conductance,  $K_p$  and  $K_c$  collected from empirical studies in C<sub>3</sub> and C<sub>4</sub> grasses (Supporting Information: Figure S10). However, species divergences in mesophyll conductance, bundle sheath conductance,  $K_p$  and  $K_c$  also affected the  $J_{\text{atpmax}}/V_{\text{cmax}}$  ratio and  $V_{pmax}/V_{cmax}$ . For example, species with a very high mesophyll resistance or a very high  $K_p$ , could have a high  $V_{pmax}/V_{cmax}$  that is optimal to the current CO<sub>2</sub>, but these species must be very rare considering the unrealistic mesophyll resistance and  $K_p$ . In our current study, the lack of significant differences for most traits between NADP-ME and NAD-ME species might be due to the limited species number. In the future, more detailed nitrogen stoichiometry for C<sub>4</sub> and a larger sampling of NADP-ME and NAD-ME species would be necessary.

### 8 | SUMMARY

We have provided additional mechanistic bases that the evolution of  $C_4$  photosynthesis required the reorganization and coordination of the CB-cycle, the light reactions and the phosphoenolpyruvate carboxylase-based carbon concentrating mechanism (CCM). Strong

divergence in  $J_{\text{atpmax}}/V_{\text{cmax}}$  between C<sub>4</sub> and C<sub>3</sub> confirms that changes in resource allocation between light reactions and the CB cycle were necessary to support the enhanced ATP requirement of the C<sub>4</sub> CCM (Osborne & Sack, 2012; Zhou et al., 2018). Observed J<sub>atpmax</sub>/V<sub>cmax</sub> were within the predicted optimal zone suggesting that the resource reallocation between Rubisco carboxylation and electron transport are operating near optimality under current environmental conditions; however, the long tail exceeding the optimal  $J_{\text{atomax}}/V_{\text{cmax}}$  in empirical measurements indicates multiple species have overallocated to electron transport, perhaps a legacy of native ecological conditions. The coordination between CB and C<sub>4</sub> cycles was in line with the optimal conditions under 200 ppm representing an overallocation of resources for current environmental conditions, but there is little associated cost to this departure from optimality. Rapid coordination between the CB cycle and the CCM occurred early in C4 evolution, but it appears that C<sub>4</sub> photosynthesis is still under selection for further optimization. The enhanced understanding of the evolution-based photosynthetic reorganization and coordination in C<sub>4</sub> photosynthesis, along with our ratio-based approach to obtain photosynthetic parameters can lead to a better parameterization of terrestrial biosphere models for C<sub>4</sub>.

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#### CONFLICT OF INTEREST

The authors declare no conflict of interest.

#### DATA AVAILABILITY STATEMENT

Data are available in the paper.

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#### SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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